CITIC

MEMORANDUM – 2018-005

TO : ALL TRADING PARTICIPANTS

SUBJECT: ORIENTATION ON SEC MEMORANDUM CIRCULAR NO. 12,

SERIES OF 2017 (ONLINE SUBMISSION OF RISK BASED

CAPITAL ADEQUACY REPORTS)

DATE : 19 FEBRUARY 2018

The Securities and Exchange Commission ("SEC"), through its Markets and Securities Regulation Department and Information Communication and Technology Department, will hold an orientation regarding SEC Memorandum Circular No. 12, Series of 2017 (Online Submission of Risk Based Capital Adequacy or RBCA Reports) from 27 February 2018 to 28 February 2018 at Function Room A, 2nd Floor, Secretariat Building, PICC Complex, Roxas Boulevard, Pasy City, with 9:00-10:30 and 10:30 to 12:00 slots.

Additional details are provided in the attached 14 February 2018 letter of the SEC to the Capital Markets Integrity Corporation.

DAISY P. ARCE

President

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MARKETS AND SECURITIES REGULATION DEPARTMENT

February 14, 2018

CAPITAL MARKETS INTEGRITY CORPORATION

10th fir., PSE Tower 28th St. Cor. 5th Avenue

Bonifacio Global City, Taguig City 1634

Tel. no. (632) 819-4480 Fax no. (632) 688-7688

Attention:

ATTY. DAISY P. ARCE

President

Gentlemen:

In connection with SEC Memorandum Circular No. 12, Series of 2017 Online Submission of Risk Based Capital Adequacy Reports (RBCA), please be informed that the Markets and Securities Regulation Department together with the Information Communication & Technology Department will hold an orientation on February 27-28, 2018 at Function Room A, 2nd fir. Secretariat Bldg. PICC Complex, Roxas Blvd. Pasay City on the following timeslot 9:00-10:30 and 10:30-12:00.

Due to limited space in the venue each Trading Participant (TP) is required to send only one (1) representative preferably those who were issued with PKI Certificate. The TP representative is required to bring a laptop on the day of the orientation. For each timeslot only 35 participants are allowed which will be on a first-come-first served basis. To register for the date and timeslot, please call Ms. Oyle Garcia at telephone no. (632) 818-7164/818-8178.

Please disseminate to your members the above information.

Very truly yours,

VICENTE GRACIANO F. FELIZMENIO, JR.